FORM 3

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

OMB APPROVAL

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# SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 3. Issuer Name and Ticker or Trading Symbol 2. Date of Event 1. Name and Address of Reporting Person\* Requiring Statement FLUIDIGM CORP [ FLDM ] **UNGER MARC** (Month/Day/Year) 08/06/2015 (Last) (Middle) 4. Relationship of Reporting Person(s) to Issuer 5. If Amendment, Date of Original Filed (First) (Check all applicable) (Month/Day/Year) FLUIDIGM CORPORATION Director 10% Owner 7000 SHORELINE COURT SUITE 100 Officer (give title Other (specify 6. Individual or Joint/Group Filing (Check below) below) Applicable Line) See Remarks (Street) Form filed by One Reporting Person Form filed by More than One Reporting Person **SOUTH SAN** 94080 **FRANCISCO** (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security (Instr. 4) 2. Amount of Securities 3. Ownership 4. Nature of Indirect Beneficial Ownership Beneficially Owned (Instr. 4) Form: Direct (D) (Instr. 5) or Indirect (I) (Instr. 5) Common Stock 2,401 Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative Security (Instr. 4) 2. Date Exercisable and 3. Title and Amount of Securities 6. Nature of Indirect Conversion Ownership Underlying Derivative Security (Instr. 4) **Beneficial Ownership Expiration Date** (Month/Day/Year) or Exercise Form: (Instr. 5) Direct (D) Price of Amount Derivative or Indirect Security (I) (Instr. 5) Number Date Expiration Exercisable Date Title Shares Employee Stock Option (Right to Buy) 08/26/2020 Common Stock 934 4.4461 D (1) 01/04/2021 8.3732 Common Stock 4.850 D Employee Stock Option (Right to Buy) (1) Employee Stock Option (Right to Buy) 01/04/2021 Common Stock 270 8.3732 D Employee Stock Option (Right to Buy) (2) 03/05/2022 Common Stock 20,000 14.55 D Employee Stock Option (Right to Buy) (3) 02/15/2023 Common Stock 55,000 16.73 D (4) 03/14/2024 14,000 47.55 D Employee Stock Option (Right to Buy) Common Stock (4) Employee Stock Option (Right to Buy) 47.55 03/14/2024 Common Stock 12,000 D Employee Stock Option (Right to Buy) (5) 03/12/2025 Common Stock 12,000 41.14 D Restricted Stock Units (6) Common Stock 3,734 0.00D Restricted Stock Units (8) (7) Common Stock 3,200 0.00 D

## Restricted Stock Units Explanation of Responses:

- 1. The Option is fully vested.
- 2. 1/48th of the shares subject to the Option vested on January 1, 2012 and 1/48th of the shares subject to the Option vested on February 1, 2012 and each month thereafter, such that the Option will be fully vested on January 1, 2016.

Common Stock

4,401

0.00

D

- 3. 1/48th of the shares subject to the Option vested on January 1, 2013 and 1/48th of the shares subject to the Option vested on February 1, 2013 and each month thereafter, such that the Option will be fully vested on January 1, 2017.
- 4. 1/48th of the Shares subject to the Option vested on January 1, 2014 and 1/48th of the shares subject to the Option vested on February 1, 2014 and each month thereafter, such that the Option will be fully vested on January 1, 2018.
- 5. 2/48th of the shares subject to the Option vested on March 12, 2015 and 1/48th of the shares subject to the Option vest on April 1, 2015 and each month thereafter, such that the Option will be fully vested on January 1, 2019.
- 6. On March 14, 2014, the Reporting Person was originally granted 5,600 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- 7. Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.

(9)

(7)

- 8. On March 14, 2014, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2014, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- 9. On March 12, 2015, the Reporting Person was originally granted 4,800 Restricted Stock Units of which 4/48th of the total number of shares underlying the Restricted Stock Units granted vested on May 20, 2015, and 3/48th of the total number of shares underlying the Restricted Stock Units granted vest every three months thereafter until fully vested, subject to recipient's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.

### Remarks:

Valerie Barnett, attorney-in-<u>fact</u>

\*\* Signature of Reporting Person

08/17/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 5 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

### POWER OF ATTORNEY

The undersigned, as a Section 16 reporting person of Fluidigm Corporation (the "Company"), hereby constitutes and appoints the officers of the Company and the responsible attorneys and paralegals of Wilson Sonsini Goodrich & Rosati, P.C., and each of them, the undersigned's true and lawful attorney-in-fact to:

- 1. complete and execute Forms ID, 3,4 and 5 and other forms and all amendments thereto as such attorney-in-fact shall in his or her discretion determine to be required or advisable pursuant to Section 16 of the Securities Exchange Act of 1934 (as amended) and the rules and regulations promulgated thereunder, or any successor laws and regulations, as a consequence of the undersigned's ownership, acquisition or disposition of securities of the Company; and
- do all acts necessary in order to file such forms with the Securities and Exchange Commission, any securities exchange or national association, the Company and such other person or agency as the attorney-in-fact shall deem appropriate.

The undersigned hereby ratifies and confirms all that said attorney-in-fact and agents shall do or cause to be done by virtue hereof. The undersigned acknowledges that the foregoing attorney-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 of the Securities Exchange Act of 1934 (as amended).

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms ID, 3, 4 and 5 with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the Company and the foregoing attorney-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this 12th day of August, 2015.

Signature: /s/ Marc Unger

Marc Unger