SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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			or Section So(n) of the investment Company Act of 1940			
1. Name and Addre		Person*	2. Issuer Name and Ticker or Trading Symbol <u>FLUIDIGM CORP</u> [FLDM]		tionship of Reporting Pe all applicable) Director	rson(s) to Issuer 10% Owner
(Last) (First) (Middle) C/O FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100		ΓΙΟΝ	3. Date of Earliest Transaction (Month/Day/Year) 05/30/2018	_	Officer (give title below)	Other (specify below)
(Street) SOUTH SAN FRANCISCO (City)	CA (State)	94080 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Fili Form filed by One Re Form filed by More th Person	porting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date,		ction Instr.				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	05/30/2018		М		5,000	A	\$ <mark>0</mark>	10,000	D	
Common Stock	05/30/2018		М		3,000	A	\$ <mark>0</mark>	13,000	D	
Common Stock ⁽¹⁾	05/31/2018		A		6,100 ⁽²⁾	A	\$ <mark>0</mark>	19,100	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative		6. Date Exerc Expiration Da (Month/Day/\	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)				
Restricted Stock Units	(1)	05/30/2018		М			5,000	(3)	(3)	Common Stock	5,000	\$0	0	D			
Restricted Stock Units	(1)	05/30/2018		М			3,000	(4)	(4)	Common Stock	3,000	\$0	0	D			
Stock Option (Right to Buy)	\$5.57	05/31/2018		A		10,600		(5)	05/31/2028	Common Stock	10,600	\$0	10,600	D			

Explanation of Responses:

1. Each Restricted Stock Unit represents the contingent right to receive one share of FLDM common stock upon vesting of the unit.

2. All shares underlying the Restricted Stock Units will fully vest on the earlier to occur of (i) one day prior to the date of the next annual meeting of the stockholders of the Company or (ii) May 31, 2019, in each case subject to recipient's continued service as a director through the applicable vest date.

3. On August 1, 2017, the Reporting Person was granted Restricted Stock Units, vesting fully on the earlier to occur of: (i) one day prior to the date of the next annual meeting of the stockholders of the Company; or (ii) August 1, 2018, subject to continued service as a director through the vesting date.

4. On September 13, 2017, the Reporting Person was granted Restricted Stock Units, vesting fully on the earlier to occur of: (i) one day prior to the date of the next annual meeting of the stockholders of the

Company; or (ii) August 1, 2018, subject to continued service as a director through the vesting date.

5. 1/12th of the shares subject to the Option will vest monthly over twelve months, subject to continued service as a director, such that the Option will be fully vested on May 31, 2019.

Remarks:

/s/ Patrick S. Jones by Nicholas 06/01/2018

Khadder, Attorney-in-Fact Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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