SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Section obligat	this box if no lo n 16. Form 4 or ions may conti tion 1(b).		STA		d pursu	ant to	Section 16(30(h) of the	(a) of the	e Sec	uritie	es Exchan	ge Act of		RSH	IIP	Estim	Number ated ave per res	erage burde	3235-0287 en 0.5			
	nd Address of raite Stepl	or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol FLUIDIGM CORP [FLDM]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify											
(Last)(First)(Middle)C/O FLUIDIGM CORPORATION2 TOWER PLACE, STE 2000						3. Date of Earliest Transaction (Month/Day/Year) 05/20/2021										A below) below) President & CEO						
(Street) SOUTH SAN FRANCISCO CA 94080					4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person							
(City)	(5	State)	(Zip)																			
			ble I - Noi					·	ed, C	Disp	1	,										
1. Title of Security (Instr. 3)			Date	2. Transaction Date (Month/Day/Year		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)						Securities Beneficial Owned Fo Reported			nership : Direct Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
Common	Common Stock							_	Code \\ M		Amount 7,813	(A) (D)	or P	rice	Transactio (Instr. 3 au	nd 4)	<u> </u>	D				
Common Stock					5/20/2021 5/20/2021				M	_	6,882		4	\$0	210,894 217,776		D					
Common Stock 05				05/20	/2021			N	м		7,722	2	4	\$ <mark>0</mark>	225,	498		D				
Common	Common Stock 05/				/2021			Ν	м		155,00	155,000 A		\$ <mark>0</mark>	380,	498		D				
Common	Stock			05/20	/2021			I	F		89,32	9 1		\$5.52	291,	169		D				
			Table II -				ities Acc warrant								wned							
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date (Month/Day/Year) i	3A. Deemed Execution D if any (Month/Day/	ate, Tra Co	e, Transaction Code (Instr.		Derivative E		Expiration Date of (Month/Day/Year) Ur De			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securitie Beneficia Owned Following Reported	e s ally g	Ownershi Form: Iy Direct (D) or Indirec	Beneficial Ownership t (Instr. 4)			
				Co	ode V	╎	A) (D)	Date Exerc	isable		xpiration ate	Title	Amo or Num of St			Transacti (Instr. 4)	ion(s)					
Restricted Stock Units	(1)	05/20/2021		Ν	M		7,813	((2) (2) Common Stock		¹ 7,8	313	\$ <mark>0</mark>	31,252		D						
Restricted Stock Units	(1)	05/20/2021		Ν	Ν		6,882	6	3)		(3)	Common Stock	¹ 6,8	382	\$ <mark>0</mark>	48,17	74	D				
Restricted Stock Units	(1)	05/20/2021		Ν	M		7,722	(4	(4)		(4)	Common Stock	¹ 7,2	722	\$0		84,945					
Restricted Stock Units	(1)	05/20/2021		Ν	M		155,000	(5)		(5)	Common Stock	¹ 155	,000	\$ 0	155,0	00	D				
Explanatio		ses:																				
 Each Rest On June 1 quarter there On Februa quarter there On March quarter there On May 2 	1, 2018, the Re after. ury 19, 2019, th after. 10, 2020, the 1 after. 3, 2020, the Re May 20, 2023	nit represents the cont porting Person was g le Reporting Person w Reporting Person was eporting Person was g	vas granted 125,000 s granted 110) Restricted),112 Restr 55 Restrict	d Stock U icted Sto ted Stock	Jnits v ock Ur x Units	esting as to 1 its vesting as s vesting as to	1/16th of s to 1/4th o 1/4th o	h of the	e tota inder	al number o lying share ber of share <u>/s/</u> <u>Li</u>	f shares o s on Febr	n Februa 1ary 20, 20, 202 <u>n Chri</u> <u>e by N</u>	ary 20, 2 2021, an 1, and as <u>istophe</u> <u>lichola</u>	020, and as t d as to 1/16t s to 1/4th of t <u>T</u> <u>S</u>	o 1/16th o	f the tot tal num imber o	al number c ber of share	of shares each es each			

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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