FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287 Estimated average burden

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

|  | tion 1(b).  | ide. Gee                 |                                       | File    |                                   |   |  |        |  |     | es Exchange  |                           | 34                                     |   | Tiours                 | per res   | ponse.  | 0.5        |  |
|--|---|--------------------------|---------------------------------------|---------|-----------------------------------|---|--|--------|--|-----|--|---------------------------|--|---|------------------------|---|---|------------|--|
| Name and Address of Reporting Person*     Yow Mai Chan                           |   |                          |                                       |         |                                   | or Section 30(h) of the Investment Company Act of 1940  2. Issuer Name and Ticker or Trading Symbol  FLUIDIGM CORP [ FLDM ] |  |        |  |     |  |                           |  | Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner     Officer (give title Other (specify)                   |                        |   |   |            |  |
| (Last) (First) (Middle) C/O FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 100 |   |                          |                                       |         |                                   | 3. Date of Earliest Transaction (Month/Day/Year) 09/20/2017   |  |        |  |     |  |                           |  | below)  | give title<br>See R    | lemarl  | below)  | specify    |  |
| (Street) SOUTH SAN FRANCISCO CA 94080  |   |                          |                                       |         |                                   | 4. If Amendment, Date of Original Filed (Month/Day/Year) 09/22/2017   |  |        |  |     |  |                           | Line                                   | 6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |                        |   |   |            |  |
| (City)   | (S  | State)                   | (Zip)                                 |         |                                   |   |  |        |  |     |  |                           |  | 1 613011  |                        |   |   |            |  |
|  |   | Ta                       | able I - Noi                          | n-Deri  | vati                              | ve S  |  |        | 1  | isp | osed of,   | or Ben                    | eficially                              | / Owned   |                        |   |   |            |  |
| 1. Title of Security (Instr. 3)  2. Tran- Date (Month                            |   |                          |                                       |         | - 1                               |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |        | Transaction Disposed C   |     | es Acquired (A) or<br>Of (D) (Instr. 3, 4 and 5  |                           | Beneficial<br>Owned Fo<br>Reported     | y (D) o<br>llowing (I) (Ir  |                        | Direct<br>Indirect<br>str. 4)                                     | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |            |  |
| Table II - Dr  |   |                          |                                       |         | ative                             | e Sec   | curities Acqui   |        | ired. Dis  |     | Amount<br>osed of. (   | (A) or<br>(D)<br>or Benef | Price<br>icially                       | Transaction(s) (Instr. 3 and 4)   |                        |   |   |            |  |
| 1. Title of  | 2.  | 3. Transaction           |                                       |         | puts                              |   | ls, war  | rants, | options  | , с | onvertibl  | e securi                  | ities)                                 | 8. Price of   | 0 Numbe                | or of   | 10.   | 11. Nature |  |
| Derivative<br>Security<br>(Instr. 3)   | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | Date<br>(Month/Day/Year) | Execution Da<br>if any<br>(Month/Day/ | ate, Ti | Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of<br>(D) (Instr. 3, 4<br>and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amou<br>of Securities<br>Underlying<br>Derivative Securit<br>(Instr. 3 and 4) |                           | Derivative<br>Security<br>(Instr. 5)   | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported  |                        | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |            |  |
|  |   |                          |                                       | Co      | ode                               | v   | (A)  | (D)    | Date<br>Exercisab  | le  | Expiration<br>Date   | Title                     | Amount<br>or<br>Number<br>of<br>Shares | -   | Transact<br>(Instr. 4) | ction(s)  |   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$14.6  | 09/20/2017               |                                       |         | D                                 |   |  | 834    | (1)  |     | 05/17/2021   | Common<br>Stock           | 834                                    | (2)   | 0                      |   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$5.13  | 09/20/2017               |                                       |         | A                                 |   | 242  |        | (3)  |     | 09/20/2027   | Common<br>Stock           | 242                                    | (2)   | 242                    | !   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$15.49   | 09/20/2017               |                                       |         | D                                 |   |  | 17,859 | (4)  |     | 02/16/2022   | Common<br>Stock           | 17,859                                 | (5)   | 0                      |   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$5.13  | 09/20/2017               |                                       |         | A                                 |   | 4,920  |        | (3)  |     | (6)  | Common<br>Stock           | 4,920                                  | (5)   | 4,92                   | 0   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$16.73   | 09/20/2017               |                                       |         | D                                 |   |  | 66,000 | (7)  |     | 02/15/2023   | Common<br>Stock           | 66,000                                 | (8)   | 0                      |   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$5.13  | 09/20/2017               |                                       |         | A                                 |   | 17,838   |        | (3)  |     | (6)  | Common<br>Stock           | 17,838                                 | (8)   | 17,83                  | 38  | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$47.55   | 09/20/2017               |                                       |         | D                                 |   |  | 14,000 | (9)  |     | 03/14/2024   | Common<br>Stock           | 14,000                                 | (10)  | 0                      |   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$5.13  | 09/20/2017               |                                       |         | A                                 |   | 1,541  |        | (3)  |     | (6)  | Common<br>Stock           | 1,541                                  | (10)  | 1,54                   | 1   | D   |            |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)                                 | \$41.14   | 09/20/2017               |                                       |         | D                                 |   |  | 12,000 | (11)   |     | 03/12/2025   | Common<br>Stock           | 12,000                                 | (12)  | 0                      |   | D   |            |  |

|   | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |  |   |   |   |  |        |  |                    |   |  |   |  |  |  |
|---|--|--|---|---|---|--|--------|--|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code (Instr.<br>8) |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A) or<br>Disposed of<br>(D) (Instr. 3, 4<br>and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |  |  |   | Code                                    | v | (A)  | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   | Transaction(s)<br>(Instr. 4)   |  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$5.13   | 09/20/2017                                 |   | A                                       |   | 2,073  |        | (3)  | (6)                | Common<br>Stock   | 2,073                                  | (12)  | 2,073  | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$7.1  | 09/20/2017                                 |   | D                                       |   |  | 13,500 | (13)   | 03/04/2026         | Common<br>Stock   | 13,500                                 | (14)  | 0  | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$5.13   | 09/20/2017                                 |   | A                                       |   | 8,941  |        | (3)  | (6)                | Common<br>Stock   | 8,941                                  | (14)  | 8,941  | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$6.16   | 09/20/2017                                 |   | D                                       |   |  | 48,400 | (15)   | 02/13/2027         | Common<br>Stock   | 48,400                                 | (16)  | 0  | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$5.13   | 09/20/2017                                 |   | A                                       |   | 34,572   |        | (3)  | (6)                | Common<br>Stock   | 34,572                                 | (16)  | 34,572   | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$7.1  | 09/20/2017                                 |   | D                                       |   |  | 6,750  | (13)   | 03/04/2026         | Common<br>Stock   | 6,750                                  | (17)  | 0  | D  |  |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$5.13   | 09/20/2017                                 |   | A                                       |   | 4,471  |        | (3)  | (6)                | Common<br>Stock   | 4,471                                  | (17)  | 4,471  | D  |  |

## **Explanation of Responses:**

- 1. The shares subject to the Option fully vested on May 18, 2015.
- 2. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 834 shares of FLDM common stock granted to the Reporting Person on May 18, 2011. In exchange, the Reporting Person received a replacement Option, for 242 shares, having an exercise price of \$5.13 per share.
- 3. 1/12th of the total number of shares underlying the Option will vest on February 20, 2018, and 1/12th of the total number of shares underlying the Option granted will vest every three months thereafter until fully vested, subject to the Reporting Person's continued status as a Service Provider as defined in the Company's 2011 Equity Incentive Plan through the applicable vest date.
- 4. The shares subject to the Option fully vested on January 1, 2016.
- 5. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 17,859 shares of FLDM common stock granted to the Reporting Person on February 17, 2012. In exchange, the Reporting Person received a replacement Option, for 4,920 shares, having an exercise price of \$5.13 per share.
- 6. The Option expiration date is September 20, 2027.
- 7. The shares subject to the Option fully vested on January 1, 2017.
- 8. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 66,000 shares of FLDM common stock granted to the Reporting Person on February 15, 2013. In exchange, the Reporting Person received a replacement Option, for 17,838 shares, having an exercise price of \$5.13 per share.
- 9. The Option provided for vesting in forty-eight equal monthly installments beginning on January 1, 2014.
- 10. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 14,000 shares of FLDM common stock granted to the Reporting Person on March 14, 2014. In exchange, the Reporting Person received a replacement Option, for 1,541 shares, having an exercise price of \$5.13 per share.
- 11. The Option provided for vesting of 2/48th of the total number of shares subject to the Option on March 12, 2015 and forty-six equal monthly installments beginning on April 1, 2015.
- 12. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 12,000 shares of FLDM common stock granted to the Reporting Person on March 12, 2015. In exchange, the Reporting Person received a replacement Option, for 2,073 shares, having an exercise price of \$5.13 per share.
- $13. \ The \ Option \ provided \ for \ vesting \ in \ forty-eight \ equal \ monthly \ installments \ beginning \ on \ February \ 1, \ 2016.$
- 14. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 13,500 shares of FLDM common stock granted to the Reporting Person on March 4, 2016. In exchange, the Reporting Person received a replacement Option, for 8,941 shares, having an exercise price of \$5.13 per share.
- $15. \ The \ Option \ provided \ for \ vesting \ in \ forty-eight \ equal \ monthly \ installments \ beginning \ on \ February \ 1, \ 2017.$
- 16. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 48,400 shares of FLDM common stock granted to the Reporting Person on February 13, 2017. In exchange, the Reporting Person received a replacement Option, for 34,572 shares, having an exercise price of \$5.13 per share.
- 17. On September 20, 2017, the Issuer cancelled, pursuant to the Issuer's option exchange program, an Option for 6,750 shares of FLDM common stock granted to the Reporting Person on March 4, 2016. In exchange, the Reporting Person received a replacement Option, for 4,471 shares, having an exercise price of \$5.13 per share.

## Remarks:

Executive Vice President, Worldwide Manufacturing of Fluidigm Singapore Pte. Ltd.

/s/ Mai Chan Yow by Nicholas Khadder, Attorney-in-Fact

04/03/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.